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**Addendum #1: Questions and Clarifications on IFB
Construction Services for Bus Parking Yard in Paso Robles**

August 25, 2017

Q1: Will an on-site pre-bid meeting be conducted?

A1: *Yes, a non-mandatory pre-bid meeting will be conducted on-site at 9:00 AM on Tuesday September 5, 2017. Please park along the fence separating the project site from US-101 – essentially in the area that the proposed new modular office will be sited. Do not impeded County Corp Yard operations by traveling on the north end of the property.*

Q2: What is the Engineer's Estimate for the project?

A2: The Engineer's Estimate is \$801,600.

Q3: Is there a bidder's list for the project?

A3: *Yes. To be placed on the bidder's list, a firm must send an email requesting to be placed on the list to gstraw@slorta.org. The email must include the firm's legal name, mailing address, contact person, title, phone number and email address. The bidder's list will be made available to any firm who requests it so that partnership opportunities – especially for Disadvantaged Business Enterprises – can be promoted.*

Q4: Is there a deadline for questions? When will the questions be answered? Will all questions be shared with all known potential bidders?

A4: *Yes, the deadline for questions is September 5 at Noon. Questions must be emailed to gstraw@slorta.org. We will post an addendum on our website (<http://www.slorta.org/about-rta/request-for-proposals/>) by 5:00 PM on September 7, which will include a summary of all questions received and answers to those questions. All addenda will be emailed to every known potential bidder based on the bidder's list.*

Q5: Is there a project goal for DBE participation in this project?

A5: *As indicated on page A-36, the RTA has established an overall DBE goal of 5.1% for Federal Fiscal Years 2017-18 through 2019-20 based on all FTA-assisted contracting funds anticipated to be awarded between October 1, 2017 and September 30, 2020.*

The Regional Transit Authority is a Joint Powers Agency serving residents and visitors of:

Arroyo Grande Atascadero Grover Beach Morro Bay Paso Robles Pismo Beach San Luis Obispo and The County of San Luis Obispo

Q6: Does the DBE UTILIZATION FORM and DBE PARTICIPATION SCHEDULE that are included in the contract agreement section have to be turned in with the bid or can they be submitted within 5 days after the bid?

A6: *Yes, the DBE Utilization Form and DBE Participation Schedule on page A-41 must be submitted as part of your bid. Any incomplete bids will be rejected.*

Q7: In Proposed Submission paragraph c on page A-37, it states; “see below” for a DBE Letter of Intent, but there is no DBE Letter of Intent form included in the contract documents. Is there a form available and when is it required to be submitted?

A7: *See the attached “DBE Letter of Intent” document, which should be submitted for each DBE firm proposed and should include a photocopy of the DBE Certification form. With regard to when the Letter of Intent should be submitted, it must be submitted as part of your bid.*

Q8: In Proposed Submission paragraph d on page A-37, it states; “see below” for a DBE Affidavit, but there is no DBE Affidavit form included in the contract documents. Is there a form available and when is it required to be submitted?

A8: *See the attached “DBE Affidavit of No Change” document. With regard to when the DBE Affidavit of No Change should be submitted, it must be submitted as part of your bid.*

Q9: In the Good Faith Efforts section it states that if the Bidder/Offerer is unable to meet the goal set forth for the project, good Faith Effort documentation must be provided. When is this documentation required to be submitted?

A9: *The Good Faith Effort documentation must be submitted as part of your bid.*

Q10: In Good Faith Efforts paragraph b, a pre-bid meeting is mentioned, but there is no other mention of a scheduled pre-bid meeting in the contract documents. Will a pre-bid meeting be held for the RTA to inform potential Bidder/Offerer’s of DBE subcontracting opportunities?

A10: *As mentioned above, a non-mandatory on-site pre-bid meeting will be conducted at 9:00 AM on Tuesday September 5, 2017.*

Q11: The form on page A-4 is not signed. Will a replacement page be posted?

A11: *Yes, see the attached replacement page A-4.*

Attachments:

1. DBE Letter of Intent
2. DBE Affidavit of No Change
3. Replacement page A-4

LETTER OF INTENT

Disadvantage Business Enterprise

(This page shall be submitted for each DBE firm)

Bidder/Offer

Name: _____

Address: _____

City: _____ State: ____ Zip: _____

DBE Firm

Name: _____

Address: _____

City: _____ State: ____ Zip: _____

DBE Contact Person

Name: _____ Phone: (____) _____

DBE Certifying Agency

_____, Expiration Date: _____

Each DBE Firm shall submit evidence (such as a photocopy) of their certification status.

Classification:

☐ Prime Contractor

☐ Subcontractor

☐ Joint Venture

☐ Manufacturer

☐ Supplier

Work item(s) to be performed by DBE	Description of Work Item	Quantity	Total

The bidder/offeror is committed to utilizing the above-named DBE firm for the work described above. The estimated participation is as follows:

DBE contract amount: \$_____ Percent of total contract: _____%

AFFIRMATION:

The above-named DBE firm affirms that it will perform that portion of the contract for the estimated dollar value as stated herein above.

By: _____
(Signature) (Title)

** In the event the bidder/offeror does not receive award of the prime contract, any and all representations in this Letter of Intent and Affirmation shall be null and void.*

**DISADVANTAGED BUSINESS ENTERPRISE (DBE)
AFFIDAVIT OF NO CHANGE**

---This form must be signed by each disadvantaged owner and notarized---

Firm Name: _____

Mailing Address: _____

Street Address (if different): _____

Telephone: (_____) _____ - _____ Fax: (_____) _____ - _____

E-Mail: _____

Federal Identification Number: _____

Any misrepresentation made in this Affidavit will be grounds for initiating proceedings to remove your firm's DBE certification status with the California State Unified Certification Program. If your firm does not meet the eligibility criteria to be certified as a DBE and attempts to participate in the DBE program based on false, fraudulent or deceitful representations, the U. S. Department of Transportation may initiate suspension or debarment proceedings against your firm; and other enforcement action may be taken against you including referral for prosecution under applicable Federal and State statutes.

As required by Title 49, Code of Federal Regulations (CFR) Part 26, Subpart E, Section 26.83(j), I/We, the undersigned, affirm that there have been no changes in my/our firm's circumstances affecting its ability to meet the size, disadvantaged status, ownership, or control requirements of 49 CFR Part 26.

I/We further affirm that there have been no material changes in the information provided with my/our firm's application for certification, except for any changes about which I/we have previously provided written notification to the New York State Department of Transportation's DBE Certification Unit pursuant to 49 CFR §26.83(i).

I/We further affirm that the above referenced firm, including its affiliates as defined by the Small Business Administration (SBA), continues to meet the SBA business size criteria and the overall gross receipts cap of 49 CFR Part 26. More specifically, I/we affirm that the average annual gross receipts for my/our firm and its affiliates, as defined by SBA regulations (see 13 CFR §121.402), do not exceed the thresholds referenced in 49 CFR §26.65 over the firm's previous three fiscal years.

I/We have provided herewith the following supporting documentation of my/our firm's size and gross receipts to support this affidavit:

**DISADVANTAGED BUSINESS ENTERPRISE (DBE)
AFFIDAVIT OF NO CHANGE**

- Signed and dated copies of Federal Tax return(s) for the tax year most recently due (including **all** Schedules) for the DBE firm ***and all of its affiliates***. If a sole proprietorship, Personal Federal Tax returns must be submitted.

OR

- If your firm's Federal tax return(s) have not yet been filed for the tax year most recently due, you must provide a signed and dated copy of Internal Revenue Service Form 4868, *Application for Automatic Extension of Time to File Tax Return*, **and** other appropriate documentation to support the firm's size and annual gross receipts (e.g. audited Financial Statements).

Under penalty of perjury of the laws of the United States, I/we certify to the truthfulness of the affirmations made in this affidavit and the accuracy of the information in the supporting documentation provided herewith.

Printed Name

Signature

Printed Name

Signature

State of _____, City/County of _____

On this ____ day of _____, 20____, before me appeared the above-named individual(s) to me personally known, who being duly sworn, did execute the foregoing affidavit and did state that he/she/they was/were properly authorized to execute this affidavit and did so as a free act and deed.

(Seal/Stamp)

Notary Public

Commission Expires: _____

Pursuant to Labor Code Section 1771.1, no contractor or subcontractor may be listed on the bid proposal for this construction project unless registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5.

Pursuant to Labor Code Section 1771.1, no contractor or subcontractor may be awarded this construction contract unless registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5.

This Project is subject to compliance monitoring and enforcement by the Department of Industrial Relations, pursuant to Labor Code Section 1771.4.

The RTA Board of Directors reserves the right to reject any or all bids, and to waive discrepancies, irregularities, informalities or any other errors in the bids or bidding, if to do so seems to best serve the public interest. The right of RTA Board of Directors to waive errors applies even if the Contract Documents state that a discrepancy, irregularity, informality or other error makes a bid nonresponsive, so long as the error does not constitute a material error.

The successful bidder must be licensed to perform the work in accordance with the laws of the State of California. Accordingly, the successful bidder shall possess a Class A general engineering contractor's license at the time this contract is awarded. In the alternative, the successful bidder shall possess a specialty contractor's license that permits the successful bidder to perform with his or her own organization contract work amounting to not less than 30% of the original total contract price and to subcontract the remaining work in accordance with Section 5-1.055, "Subcontracting," of the Amendments to the Standard Specifications. Failure of the bidder to be properly and adequately licensed shall constitute a failure to execute the contract and shall result in the forfeiture of the bidder's security.

Bidders must satisfy themselves by personal examination of the location of the proposed work and by such other means as they prefer as to the actual conditions and requirements of the work, and shall not at any time after submission of the bid dispute, complain, or assert that there was any misunderstanding in regard to the nature or amount of work to be done.

By order of the RTA Board of Directors made this 21st day of August, 2017.

RTA Executive Director

By  _____
Geoff Straw